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I hereby certify that this correspondence and any attachments are, on the date below, being:

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- ☐ Facsimile transmitted to the Patent and Trademark Office, facsimile number _____

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Date: 12-29-00

Signature

Cindy Malocha

Cindy Malocha

Typed/Printed Name of Person Making Certification

IN THE UNITED STATE PATENT AND TRADEMARK OFFICE

Applicant(s): YI SUN
Application No.: 09/509,779
Filed: MARCH 29, 2000
Title: SAG: SENSITIVE TO APOPTOSIS

Examiner: J. HUNT
Art Unit: 1642
Paper No.: 5

Customer Service Center
Initial Patent Examination Division
Assistant Commissioner for Patents
Washington, D.C. 20231

**Transmittal of a Response to Notice to Comply with Requirements for Patent Applications Containing
Nucleotide Sequence and/or Amino Acid Sequence Disclosures**

Assistant Commissioner for Patents:

On December 18, 2000, Applicants received a Notice to Comply with Requirements stating that the application does not contain a separate disclosure on paper copy, a "Sequence Listing" nor was a copy of the "Sequence Listing" in computer readable form submitted pursuant to 37 C.F.R. 1.821. Attached is a diskette containing the computer readable form of the enclosed paper copy of the "Sequence Listing" and a statement clarifying that the paper copy of the "Sequence Listing" is identical to the enclosed diskette and paper copy.

Respectfully submitted,

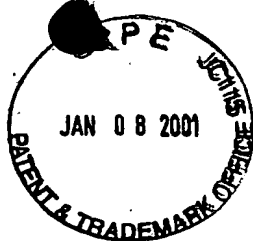
David R. Kurlandsky
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Warner-Lambert Company
2800 Plymouth Road
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Telephone: (734) 622-7304
Facsimile: (734) 622-1553

12/28/2000
Date

Attachments

- ☒ Diskette containing computer readable copy of Sequence Listing
☒ Paper copy of computer readable diskette
☒ Certificate of Sequence Listing
☒ Original Notice to Comply
☒ Return postcard

PIPE



Docket No. 5650-01-MJA

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Applicant(s): Yi Sun
Application No.: 09/509,779
Filed: March 29, 2000
Title: SAG: SENSITIVE TO APOPTOSIS

SEQUENCE LISTING CERTIFICATE

I hereby certify that the paper copy of the Sequence Listing is identical to the enclosed substitute computer readable disk and that the Sequence Listing does not include matter which goes beyond the disclosure in the above-identified application as filed.

12/28/2000

Date

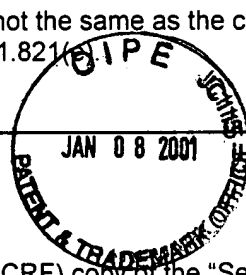
A handwritten signature in black ink, appearing to read "D. R. Kurlandsky".

David R. Kurlandsky
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NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☒ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(f).
- ☐ 7. Other: _____



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Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

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